



PRIVACY POLICY

We are Committed to Protecting Your Privacy

Penn Investment Advisors, Inc. (“PIA”) appreciates the privacy concerns and expectations of our customers. We are committed to maintaining a high level of privacy and confidentiality when it comes to your personal information and we use that information only where permitted by law. This privacy policy contains information about how we fulfill this commitment to you. In compliance with government regulations, we provide this notice annually.

Our Commitment to you

We value the trust of our customers and will continue to recognize the importance of holding your personal financial information as confidential. We will use information responsibly in order to protect you from fraud, offer you improved products and services, and comply with legal obligations. We will maintain accurate customer information and respond promptly to customer requests to correct information. We will require companies with which we do business to use our customer information appropriately and to safeguard the confidentiality of such information.

Collection of Information

We collect nonpublic personal information about you from the following sources:

- Information that we receive from you personally on applications, forms, or other correspondence, such as your name, address, phone number, social security number, and e-mail address.
- Information about your transactions with us, such as your account holdings and transaction history.

Disclosure of Information

We do not disclose any Information about our customers or former customers to unaffiliated third parties except to the extent necessary to service your account, as permitted by law.

We Protect Non-Public Personal Information of our Former Clients

If you decide to close your account(s), we will continue to adhere to the privacy policies and practices provided in this notice. As permitted by law, we may disclose non-public personal information to affiliates of PIA.

Third Party Websites

PIA may establish links between this Website and one or more websites operated by third parties. PIA has no control over any such other websites, the contents therein or the products/services offered. Your access to and use of such linked websites is governed by the terms of use and privacy policies of those sites, and shall be at your own risk. PIA disclaims responsibility for the privacy policies and customer information practices of third-party internet websites hyperlinked from our Website.

We Have Safeguards in Place

We have safeguards in place to protect the confidentiality, security and integrity of your non-public personal information. We restrict access to non-public personal information to those who need to know that information in order to service your account. We maintain physical, electronic and procedural safeguards that comply with government requirements to guard non-public personal information.

We appreciate the opportunity to serve your investment needs. We pledge to follow the policies, safeguards and guidelines as described in this notice, and to protect the confidentiality of your information. Your relationship is very important to us, and we will take great care to honor these commitments to you.

Contact Us

If you have any questions about this Privacy Policy or our privacy related practices, or if you would like a copy of PIA's privacy policy, please contact us.